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Attorney Docket No. **38-21(15757)**
First Inventor or Application Identifier **Christopher G Taylor**
Title **See 1 in Addendum**
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- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
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Addendum

1. Novel Transgene Assay Using Stable *Agrobacterium rhizogenes* Transformation

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38-21(15757)

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APPLICATION FOR UNITED STATES LETTERS PATENT FOR
NOVEL TRANSGENE ASSAY USING STABLE *Agrobacterium rhizogenes*
TRANSFORMATION


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5 This application claims priority from US provisional patent application number 60/098,402, filed 8/31/98, herein incorporated by reference in its entirety.

Field of the Invention

 The present invention relates in general to a new method of screening genetic elements of interest for functionality, and more particularly to such a method utilizing
10 *Agrobacterium rhizogenes* to transform plant tissue in a manner forming a chimeric plant expressing or containing the genetic element of interest in transgenic root tissue.

Background of the Invention

Agrobacterium rhizogenes is a soil bacteria that is known to infect wounded root tissue and that transfers a portion of its bacterial plasmid, the Ri plasmid, to the plant.
15 The Ri-T-DNA that is transferred to the plant induces the formation of adventitious roots and these genetically transformed roots can be regenerated into whole plants that transmit the Ri T-DNA to their progeny. *Agrobacterium rhizogenes* has, therefore, been used to generate stably transformed whole plants. In one application of this technology, secondary metabolites can be produced from culture using this method.

20 With the advent of genomics-based discovery of genes and genetic elements, new methods are needed to facilitate the rapid screening of the large numbers of genes (or genetic elements) that are becoming available. Typically, genes of interest are cloned and then stably transformed using *Agrobacterium tumefaciens* mediated delivery or by a particle gun method into plants for functional analysis of the gene or genetic element.

25 This method can take up to 9 months for transgenic plants, such as soybean, to be transformed and ready for testing. This is a slow and inefficient process. Therefore, there is a need for a rapid method of screening large numbers of genes and gene constructs *in planta* for functionality.

Summary of the Invention

30 The present invention relates to a rapid, *in planta* method for screening a genetic element for functional activity. It has been discovered that by utilizing *Agrobacterium rhizogenes* to transform plant tissue in a manner producing a chimeric plant having only

transgenic root tissue, with the remainder of the plant being non-transgenic, transgenic tissue containing a selected genetic element can be available for testing without having to produce stably transformed whole plants. The method greatly reduces the time required to screen large numbers of genetic elements and permits functional testing in about 2 to 3 months from the start of the transformation process.

Therefore, in one preferred embodiment, the present invention provides a method for producing a stable chimeric plant having transgenic root tissue that comprises obtaining an explant, inoculating the explant with *Agrobacterium rhizogenes* containing an exogenous genetic element capable of being transferred to the explant, culturing the inoculated explant in a manner permitting transgenic root development, and producing a stable chimeric plant with transgenic root tissue. This transgenic root tissue is available for testing of the functionality of the genetic element introduced therein by standard methodology relevant to the genetic element being tested.

Among the many aims and objectives of the present invention include the provision of a method providing for an *in planta* assay for testing genes for anti-pathogen or anti-insect activity; testing genes for enzymatic or metabolic activity; high-throughput gene trapping, promoter trapping, and enhancer trapping; optimizing constructs for gene expression and protein production; testing constructs for gene expression before submission for production of transgenic plants; and production of large amounts of protein. Moreover, the present method provides a method of producing chimeric plants in soil, not in tissue culture, thereby greatly reducing the possibility of contamination and avoiding the disadvantages associated with regenerating transgenic plants through tissue culture methods.

Also provided are chimeric soybean plants produced by the method described herein.

Brief Description of the Drawings

Figure 1 is a representation of the plasmid map for pMON31873.

Figure 2 is a representation of the plasmid map for pMON31892.

Figure 3 is a representation of the plasmid map for pMON31896.

5 Detailed Description of the Invention

In order to provide a clear and consistent understanding of the specification and the claims, including the scope given to such terms, the following definitions are provided.

10 A “chimeric plant” is a plant with only a portion of its cells transgenic. In the following examples the chimeric plants are defined as having transgenic roots but wild-type shoots, stems, and leaves.

A “genetic element of interest” can be a promoter, an intron, a structural gene, a fragment of a gene, a 3’ terminator, an enhancer, or any other genetic element that might affect gene expression, gene functionality, or a combination thereof.

15 “Expression” means the combination of intracellular processes, including transcription and translation, undergone by a coding DNA molecule such as a structural gene to produce a polypeptide.

20 “Promoter” means a recognition site on a DNA sequence or group of DNA sequences that provides an expression control element for a structural gene and to which RNA polymerase specifically bind and initiates RNA synthesis (transcription) of that gene.

“Regeneration” means the process of growing a plant from a plant cell (e.g., plant protoplast or explant).

“Structural gene” means a gene that is expressed to produce a polypeptide.

25 “Structural coding sequence” refers to a DNA sequence that encodes a peptide, polypeptide, or protein that is made by a cell following transcription of the structural coding sequence to messenger RNA (mRNA), followed by translation of the mRNA to the desired peptide, polypeptide, or protein product.

30 “Transformation” refers to a process of introducing an exogenous DNA sequence (e.g., a vector, a recombinant DNA molecule) into a cell or protoplast in which that exogenous DNA is incorporated into a chromosome or is capable of autonomous replication.

5 “Vector” means a DNA molecule capable of replication in a host cell or to which another DNA segment can be operatively linked so as to bring about replication of the attached segment. A plasmid is an exemplary vector.

 “Exogenous” as used herein means any genetic element that is not naturally occurring in a wild-type *Agrobacterium rhizogenes* organism

10 According to the present invention, there is provided a method for the rapid *in planta* testing of an exogenous genetic element in a chimeric plant. The plant is produced by transformation with *Agrobacterium rhizogenes*. This process requires the use of a wild-type *Agrobacterium rhizogenes* strain that transfers genes that encode for production of plant growth regulators that stimulate hairy root formation to the infected plant tissue
15 during the transformation process. Numerous strains of *Agrobacterium rhizogenes* are known, and any strain that efficiently transforms the plant of interest may be used. It is understood, however, that some strains are more virulent than others and certain strains may not be used with all plant species because of the level of virulence. Thus, the plant species being transformed and the strain of *Agrobacterium rhizogenes* being used should
20 be compatible. Most preferably, the highly virulent strain K599 is used with plant species such as soybean and potato, but a less virulent strain may be needed for tomato.

 In addition to the wild-type *Agrobacterium rhizogenes* strain that is to be used for the transformation, a construct containing the genetic element to be tested for functionality *in planta* is added to the *Agrobacterium rhizogenes* in the form of a binary
25 plasmid, a piece of circular DNA. The plasmid may take many forms known in the art, but typically requires an origin of replication that allows for stable plasmid retention in *Agrobacterium rhizogenes*; a suitable selectable marker resistance gene that allows for selection of the plasmid in *Agrobacterium rhizogenes*; two DNA border sequences that determine the beginning and end points of the DNA that is to be transferred to the plant
30 cell; and a construct containing the genetic element to be tested that is flanked by the before mentioned DNA border sequence. The construct containing the genetic element of interest will typically include in linear sequence a promoter, promoter elements, a structural gene, and a 3' terminator, and the genetic element being tested may be any one of these elements.

5 Suitable selectable marker genes include, but are not limited to, antibiotic resistance markers such as the neomycin phosphotransferase gene, which confers resistance to kanamycin. Other preferred selectable markers are genes that confer tolerance to the glyphosate herbicide as described in U.S. Patent Nos. 5,463,175 and 5,633,435, herein incorporated by reference.

10 If the genetic element being tested is a promoter sequence, the construct will require a reporter gene. Suitable reporter genes include, but are not limited to genes encoding for green fluorescent protein (GFP), β -glucuronidase (GUS), chloramphenicol acetyl transferase (CAT), and luciferase.

15 If the gene element of interest is a structural gene, the construct will require the elements needed for expression of the structural gene in a plant, including a promoter sequence and a 3' non-translated termination/polyadenylation site and, optionally, an intron. Suitable promoters include constitutive or root-specific promoters, such as, but not limited to, enhanced 35S promoter from cauliflower mosaic virus (e35S CaMV), figwort mosaic virus promoter (FMV), the sugarcane badnavirus promoter, the actin
20 promoter from rice, the ubiquitin promoter from maize, the nos promoter, the RB7 promoter, and the 4AS1 promoter. Any suitable 3' non-translated regions may be included in the vector containing the genetic element to be tested, including but not limited to the 3' region from the *Agrobacterium* tumor inducing (Ti) plasmid gene, such as the nopaline synthase gene (nos), and plant genes such as the soybean 7s storage
25 protein gene and pea ssRUBISCO E9 gene. Suitable introns are known in the art and may include the intron from the rice actin gene or an intron from a wheat heat shock protein.

 Methods for constructing the vectors as described herein and means for introducing such vectors into *Agrobacterium rhizogenes* are described in the relevant
30 literature, such as Sambrook et al. (*Molecular Cloning: A Laboratory Manual*, Second Edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989) or Ausubel et al. (*Current Protocols in Molecular Biology*, John Wiley and Sons, New York, NY, 1995).

5 A structural gene being tested in the method of this invention may be any structural gene that might confer a beneficial trait to a plant, including but not limited to agronomic traits such as herbicide tolerance, yield improvements, insect or pathogen resistance, or quality traits such as enhanced or improved nutritional value, or other proteins, enzymes or other biological product that may be produced in a plant.

10 Once the vector containing the genetic element to be tested is introduced into the *Agrobacterium rhizogenes*, a suitable explant from the plant to be transformed is selected. The explant is derived from the plant of choice such that after inoculation with the vector containing *Agrobacterium rhizogenes*, the explant is capable of generating transgenic roots and maintaining a normal, non-transgenic stem, leaves and other plant structures.

15 Preferably, the explant is a stem, hypocotyl or other like structure. Most preferably, the explant is a hypocotyl obtained by removing the roots from a growing cotyledon by cutting the hypocotyl about 2-3 cm below the cotyledonary leaves. It is also preferable to remove the plant tissue above the cotyledonary leaves as well.

The explant is inoculated by contacting a cut or wounded portion of the explant
20 with a solution containing the *Agrobacterium rhizogenes* for a period of time suitable to permit transfer of the DNA to the explant. This typically occurs when the filter is dry. When the filter is air dried, it can take up to a week, but other methods of drying may also be used that would take less time. The *Agrobacterium rhizogenes* may be contacted by dipping the cut explant into the solution or vacuum infiltration methods may be used.

25 The bacterial solution may also be injected into the explant by methods known in the art.

Chimeric plants are produced from transgenic roots after transformation with *Agrobacterium rhizogenes*. Root growth can be initiated by placing the inoculated end of the plant into liquid or solid media containing minimal salts media (i.e., 1/4 strength Murashige and Skoog Salt Mixture(MS) [GibcoBRL, Cat. No 11117-074]). Hairy root
30 formation can be observed between two and three weeks after transformation with *Agrobacterium rhizogenes*. Once roots begin to grow, the entire plant may be planted in soil or grown hydroponically. Generally, between 40 and 90% of the hairy roots generated will be transformed with the gene element of interest. All transgenic root growth is supported by the resources produced in the wild type shoots, stems, and leaves.

5 This method relies on the cotyledons or excised shoots to provide the necessary resources for hairy root production, thus eliminating the need for sugars or other carbon sources that would allow for easy contamination of the media. Production of hairy roots can be done in a non-sterile field or lab bench thus eliminating the need for sterile hoods and sterile lab equipment.

10 Once the transgenic roots are established, the genetic element introduced into the plant may be analyzed using any of the methods familiar to those of skill in the art and appropriate for determining the functionality of the genetic element, including, but not limited to, immunochemical blots, Northern blots, Southern blots, extractions, plant pathogen assays, nodulation assays, enzyme assays, targeting assays, gene silencing
15 assays, recombination assays, gene excision, functional genomics assay, PCR, and the like.

Examples

The following examples further illustrate the present invention. They are in no way to be construed as a limitation in scope and meaning of the claims.

20 Example 1—Transformation of Soybeans

Seed Sterilization

Petri dishes are filled with soybean seed and placed in a vacuum desiccator. A beaker containing 200 mL of bleach and 2 mL of concentrated HCl is placed in the middle of a desiccator covered and vacuum applied. The vacuum is closed, and the seeds
25 are allowed to sit for 16 to 24 hrs.

Germinate Seeds

Pots are filled with silica sand and the sterilized soybean seed is planted. The seed is germinated in a greenhouse for 7 days or until first leaf expands. It is preferable that the soybean seeds are grown in the greenhouse as this seems to improve the stability
30 of the growing hypocotyl. First leaves are removed by cutting stem above cotyledons. The seedlings are transferred to a cold room at 4-6°C (can be stored for up to seven days).

Inoculation

5 *Agrobacterium rhizogenes* strain K599 containing the genetic element to be tested is grown in LB media plus a plasmid selectable antibiotic in a 30°C shaker overnight. The cells are spun down by centrifugation (4,000 x g, 10 min.) and resuspended in *Agro* resuspension solution (1/10 strength B5 media plus 200 µM acetosyringone, 1 mM galacturonic acid, and 20 mM MES (pH5.4) to final OD_{600nm}=0.3). SORBAROD
10 filters (Ilacon Limited, type 7006, or Sigma, S6404, St. Louis, MO) that have been placed into a petri plate or microtiter plate are saturated with *Agro* resuspension solution. Remaining area of well or plate is filled with *Agro* resuspension solution (minus the *Agro*). Soybean hypocotyls are cut about 2-3 cm below cotyledons and cut end of hypocotyls is placed into filters and vacuum infiltrated for 5 minutes. The hypocotyls are
15 placed in a growth chamber at 22°C, 18 hr. light/6hr. dark photo-period and the filters are permitted to dry until all of the *Agro* resuspension solution has evaporated and the filters have completely dried.

Root Initiation

There are two options (chambers or plates) for root initiation.

Chambers (1)

Find empty pipette tip boxes and remove lids. Sterilize in autoclave. Cover top with thin sheet of aluminum foil. Punch number of holes as needed. Fill chamber with 1/4 strength MS solution (pH 5.4) (optional is the addition of low levels of selectable agents, i.e., kanamycin 50 mg/L). Hypocotyls are removed from filters and placed in
25 holes. Keep chambers in Percival at 22°C, 18 hr. light 6hr. dark photo-period.

Plates (2)

Prepare 1/4 strength MS (pH 5.4) plus 0.7% phytigel solution. Autoclave. Cool and pour into wide petri plates (optional is the addition of low levels of selectable agents, i.e., kanamycin 50 mg/L). Remove hypocotyls from filters and place in phytigel. Keep
30 plates in growth chamber at 22°C, 18 hr. light/6hr. dark photo-period.

Soybean Plantlet Culture

Remove any adventitious roots that may appear (these are roots above cut site) until week three. If a large number of cotyledons appear to turn yellow, spray with fungicide by misting over top. Monitor water level and replace as needed with 1/4

5 strength MS (pH 5.4). This can be added to the plates also. Do not let inoculated ends dry out. After three weeks roots should begin to grow from inoculated ends.

Hairy Roots

The number of transgenic hairy roots that form will be dependent on the cultivar. PI accessions tend to produce more hairy roots than cultivated varieties. On average
10 between 5 and 10 independent transgenic roots can be produced per hypocotyl.

Generally, between 40 and 70% of the hairy roots generated will be transformed with the genetic element introduced. In initiating roots in presence of low levels of selectable agents (kanamycin 50 mg/L) up to 90% of generated hairy roots will be transformed with the introduced genetic element. When transgenes are linked to reporter genes (i.e., GFP),
15 transgenic roots can be selected based on expression of reporter genes.

Production of Chimeric Soybean Plants

Large amounts of hairy roots can be produced by planting the chimeric plants in soil or grown hydroponically. The plant provides most of the energy needed for hairy root growth. Only minimal salts are needed. Hairy root plants tend to be dwarf with
20 early induced seed production.

The example below represents a study done on expression of an anti-fungal protein (AFP) from alfalfa (Alf). Two binary plasmids were constructed from pMON31873 (Figure 1) using the Figwort Mosaic Virus (FMV) constitutive promoter, to drive expression of a cytoplasmically (pMON31892; Figure 2) or extracellularly
25 (pMON31896; Figure 3) targeted Alf-AFP. Each construct was additionally linked to an enhanced 35S promoter driving expression of the green fluorescence protein (GFP) and was used to produce transgenic hairy-roots as described above. Five weeks after transformation, transgenic roots were individually harvested, analyzed for GFP expression by observing green fluorescence under a UV light and frozen. Protein was
30 extracted from root-tissues and used in a standardized ELISA assay using an antibody made specifically against Alf-AFP to determine the amount of Alf-AFP present. Table 1 shows results of the study.

5 Table 1. Expression of Alf-AFP and GFP in hairy roots of soybeans.

Sample	PPM Alf-AFP	GFP yes/no
Vector Control 1	0.009	yes
Extracellular Alf-AFP 1	0.069	yes
2	0.154	yes
3	0.021	yes
4	0.003	no
5	0.001	no
6	0.019	no
Cytoplasmic Alf-AFP 1	0.01	no
2	0.009	no
3	0.01	no
4	0.01	no
5	0.01	yes
6	0.01	yes
7	0.011	no
8	0.011	yes
9	0.011	yes
10	0.012	yes
11	0.01	no

The results from this experiment indicated that the extracellular targeted Alf-AFP binary construct was capable of producing Alf-AFP in transgenic roots. A strong correlation between GFP positive roots and those that expressed Alf-AFP was observed. However, the cytoplasmically targeted version of Alf-AFP did not accumulate Alf-AFP. Because this construct was never tested, the expected result was uncertain. This example demonstrates how rapidly constructs can be screened for gene expression. Thus, one can quickly and cheaply screen for a genetic element of interest using this method of generating transgenic hairy roots.

5 **Example 2—Transformation of Potato**

For generation of hairy roots on potato the same solutions are used as described in Example 1. For plant material, potatoes that contain numerous branches are preferred. Potatoes do not need to be chilled prior to inoculation. Cut potato branches at nodes and place in *Agro* resuspension solution (1/10 strength B5 media plus 200 μ M acetosyringone, 1 mM galacturonic acid, and 20 mM MES (pH5.4) to final OD_{600nm} =0.3). Vacuum infiltrate and place in growth chamber at 22°C, 18 hr. light/6hr. dark photo-period.

Production of Chimeric Potato Plants

Potatoes produce hairy roots much more rapidly than soybean. Roots will begin to appear within two weeks. Adventitious roots generally do not appear. If they do, simply remove them with a scalpel. Co-transformation of hairy roots with a genetic element is between 70 and 90%. Up to 25 independent hairy roots may form per stem.

The example below represents a study done on expression of an anti-fungal protein (AFP) from alfalfa (Alf). Two binary plasmids were constructed from pMON31873 (Figure 1) using the Figwort Mosaic Virus (FMV) constitutive promoter, to drive expression of a cytoplasmically (pMON31892; Figure 2) or extracellularly (pMON31896; Figure 3) targeted Alf-AFP. Each construct was additionally linked to an enhanced 35S promoter driving expression of the green fluorescence protein (GFP) and was used to produce transgenic hairy-roots. Five weeks after transformation, transgenic roots were individually harvested, analyzed for GFP expression by observing green fluorescence under a UV light and frozen. Protein was extracted from root-tissues and used in a standardized ELISA assay using an antibody made specifically against Alf-AFP to determine the amount of Alf-AFP present. Table 2 shows results of the study.

5 Table 2. Expression of Alf-AFP and GFP in hairy roots of potato.

Sample	PPM Alf-AFP	GFP yes/no
Vector Control		
1	0.024	yes
2	0.017	yes
Extracellular Alf-AFP		
1	0.226	yes
2	0.02	no
3	0.023	yes
4	0.074	yes
5	0.024	yes
6	0.057	yes
7	0.016	no
8	0.044	yes
Cytoplasmic Alf-AFP		
1	0.022	yes
2	0.019	yes
3	0.017	no
4	0.015	yes
5	0.012	yes
6	0.011	yes
7	0.007	yes
8	0.004	yes

The results from this experiment indicated that the extracellular targeted Alf-AFP binary construct was capable of producing Alf-AFP in transgenic roots. A strong correlation between GFP positive roots and those that expressed Alf-AFP was observed. However, the cytoplasmically targeted version of Alf-AFP did not accumulate Alf-AFP. Because this construct was never tested, the expected result was uncertain. This example demonstrates how rapidly constructs can be screened for gene expression. Thus, one can quickly and cheaply screen for a genetic element using this method of generating transgenic hairy roots.

All publications and patent applications mentioned in this specification are indicative of the level of skill of those skilled in the art to which this invention pertains. All publications and patent applications are herein incorporated by reference to the same

5 extent as if each individual publication or patent application was specifically and
individually indicated to be incorporated by reference.

Although the invention has been described in detail for the purpose of illustration,
it is understood that such detail is solely for that purpose, and variations can be made
therein by those skilled in the art without departing from the spirit and scope of the
10 invention which is defined by the following claims.

Claims

What is claimed is:

1. A method for producing a stable chimeric plant having transgenic root tissue, the method comprising the steps of:
 - 10 obtaining an explant;
 - inoculating the explant with *Agrobacterium rhizogenes* containing an exogenous genetic element capable of being transferred to the explant;
 - culturing the inoculated explant in a manner permitting transgenic root development; and
 - 15 producing a stable chimeric plant with transgenic root tissue.
2. The method of claim 1 wherein the explant is stem or hypocotyl tissue.
3. The method of claim 1 wherein the explant is a hypocotyl providing a cut end below the cotyledon.
4. The method of claim 3 wherein the cut end of the hypocotyl is contacted with the
 - 20 *Agrobacterium rhizogenes*.
5. The method of claim 4 wherein the *Agrobacterium rhizogenes* is strain K599.
6. The method of claim 1 wherein the explant is obtained from a dicotyledonous plant.
7. The method of claim 6 wherein the plant is soybean, potato, or tomato.
8. The method of claim 4 wherein transgenic root development is initiated in the
 - 25 inoculated hypocotyl by placing the inoculated hypocotyl region in a media containing $\frac{1}{4}$ MS.
9. The method of claim 8 wherein the media further comprises a selectable agent.
10. The method of claim 9 wherein the selectable agent is kanamycin.
11. The method of claim 10 wherein the concentration of kanamycin in the media is no
 - 30 more than about 50 mg/L.
12. A method for testing a genetic element for functionality in a plant, comprising the steps of:
 - obtaining an explant;
 - inoculating the explant with *Agrobacterium rhizogenes* containing an exogenous

- 5 genetic element capable of being transferred to the explant;
culturing the inoculated explant in a manner permitting transgenic root development;
producing a stable chimeric plant with transgenic root tissue;
analyzing the transgenic root tissue for the exogenous genetic element.
- 10 13. The method of claim 12 wherein the exogenous genetic element is a gene that confers resistance to plant pathogens.
14. The method of claim 12 wherein the exogenous genetic element is a gene that confers an agronomic trait to the plant.
15. The method of claim 12 wherein the exogenous genetic element is a gene that is involved in the enzymatic or metabolic activity of the plant.
- 15 16. The method of claim 12 wherein the exogenous genetic element is a promoter sequence.
17. The method of claim 12 wherein the explant is selected from the group consisting of stem, hypocotyl or root tissue.
18. The method of claim 12 wherein the explant is a hypocotyl providing a cut end below the cotyledon.
- 20 19. The method of claim 18 wherein the cut end of the hypocotyl is contacted with the *Agrobacterium rhizogenes*.
20. The method of claim 19 wherein the *Agrobacterium rhizogenes* is strain K599.
21. The method of claim 12 wherein the explant is obtained from a dicotyledonous plant.
- 25 22. The method of claim 21 wherein the plant is soybean, potato, or tomato.
23. The method of claim 19 wherein transgenic root development is initiated in the inoculated hypocotyl by placing the inoculated hypocotyl region in a media containing $\frac{1}{4}$ MS.
24. The method of claim 23 wherein the media further comprises a selectable agent.
- 30 25. The method of claim 24 wherein the selectable agent is kanamycin.
26. The method of claim 25 wherein the concentration of kanamycin in the media is no more than about 50 mg/L.

Abstract of the Disclosure

A novel method is described for the screening of gene elements of interest using hairy roots of chimeric plants transformed with *Agrobacterium rhizogenes*.

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38-21(15757)

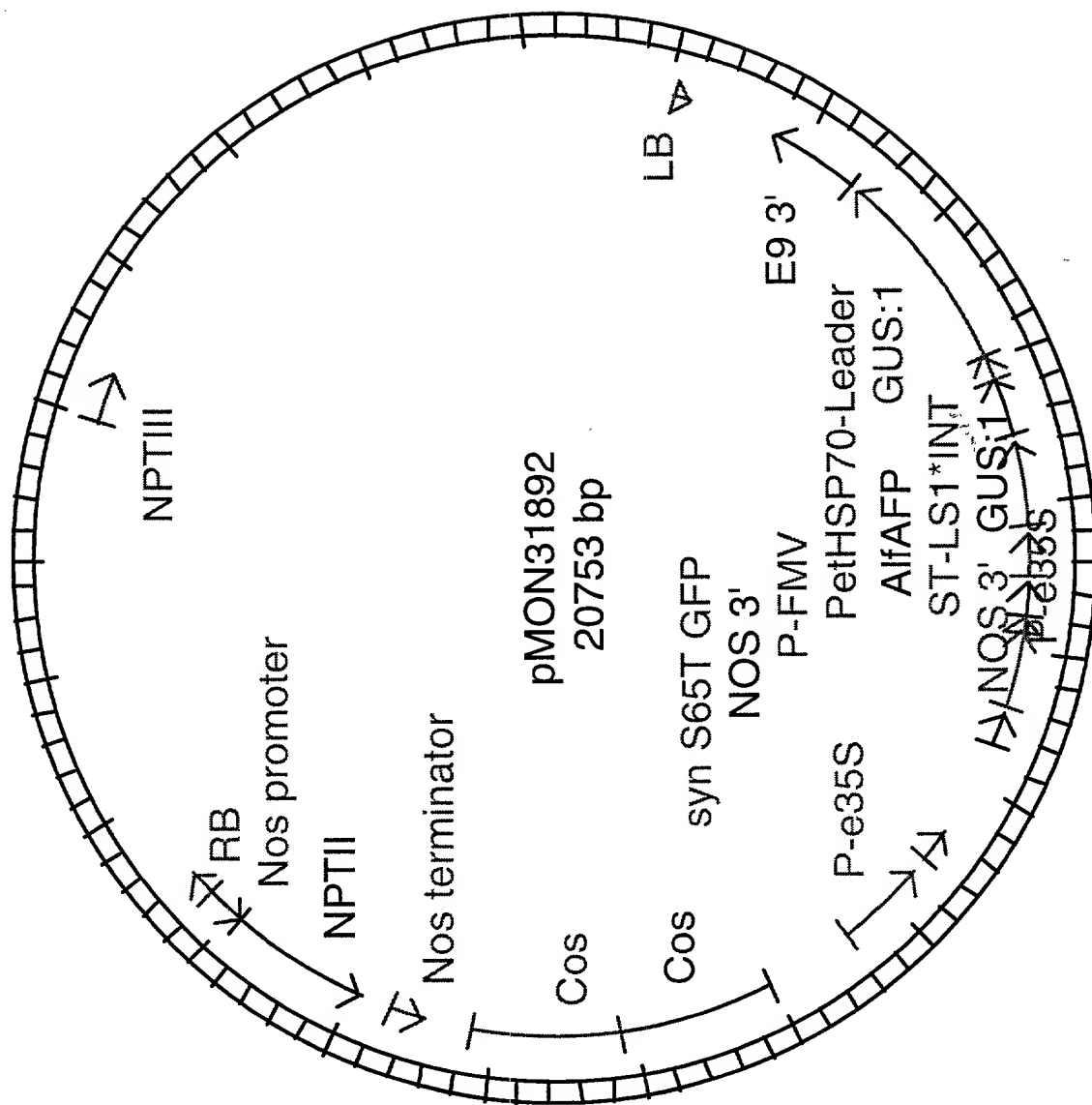


Figure 2

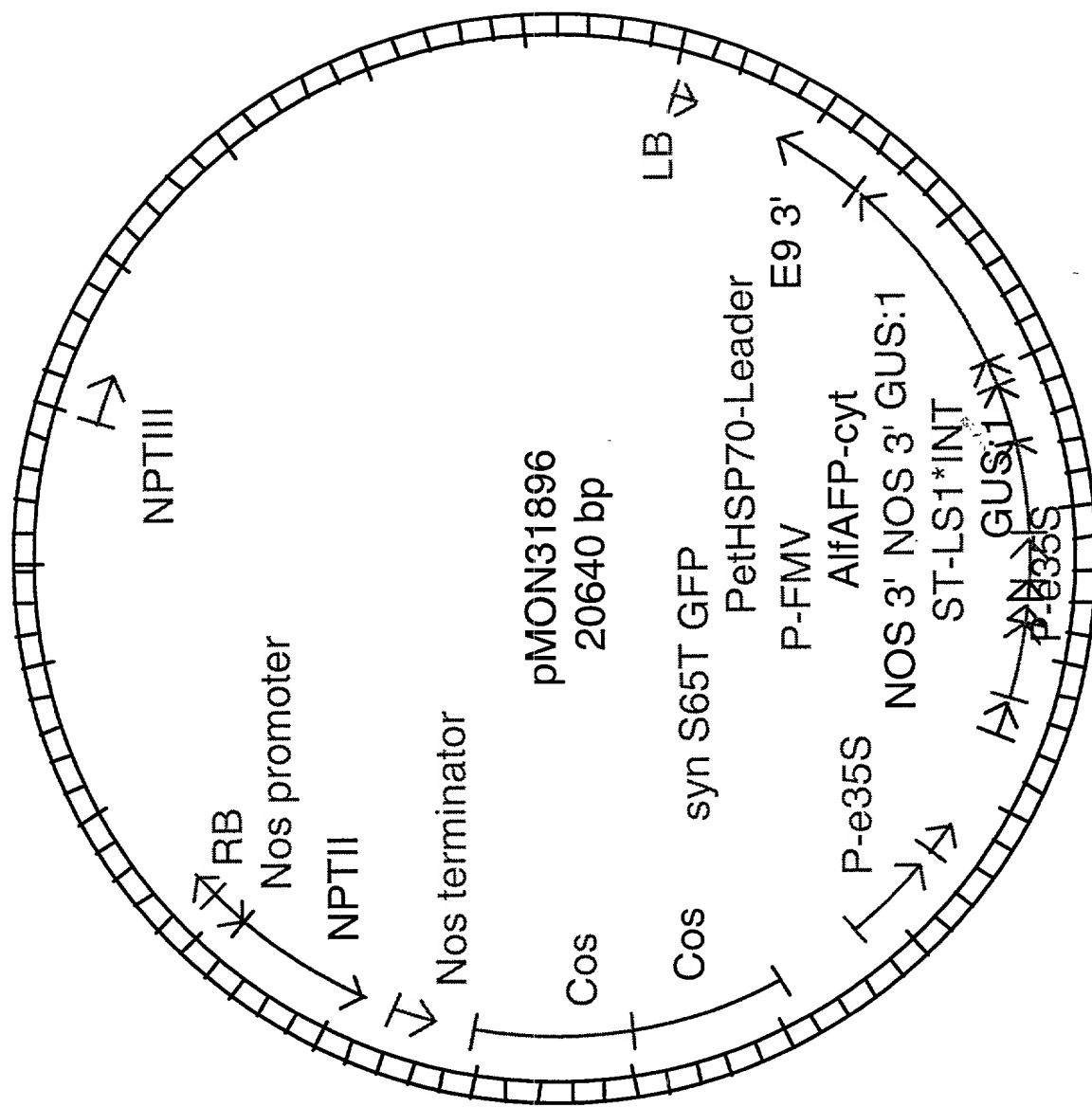


Figure 3

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PTO/SB/01 (12-97)

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	38-21(15757)
	First Named Inventor	Taylor, Christopher G
	<i>COMPLETE IF KNOWN</i>	
	Application Number	
	Filing Date	
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Novel Transgene Assay Using Stable Agrobacterium rhizogenes Transformation

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/098,402	08/31/1998

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number
Thomas P McBride	32,706	Dennis R Hoerner Jr	30,914
Lawrence M Lavin Jr	30,768	Richard H Shear	26,583
Alan E Dow	35,123		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Thomas P McBride				
Address	Patent Department Central				
Address	Monsanto/GD Searle				
Address	PO Box 5110				
City	Chicago	State	IL	ZIP	60680-5110
Country		Telephone	636-737-7685	Fax	636-737-6047

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))	Family Name or Surname
Christopher G	Taylor

Inventor's Signature				Date	
Residence: City	Ballwin	State	MO	Country	
Post Office Address	1368 Forest Splendor Trail				
Post Office Address					
City	Ballwin	State	MO	ZIP	63021
				Country	

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Yong				Huang			
Inventor's Signature					Date		
Residence: City	Madison	State	WI	Country		Citizenship	USA
Post Office Address		P. O. Box 44212					
Post Office Address							
City	Madison	State	WI	ZIP	53744	Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
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